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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-1079

First Named Inventor : Yutaka WADA et al.

Title: POLISHING METHOD USING AN ABRADING PLATE

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

2. ☐ Small Entity Status is hereby asserted.

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

3. ☒ Specification [Total Pages: 57]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statement verifying identity of above copies

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

ACCOMPANYING APPLICATION PARTS

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 24]

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449

☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other

5. ☒ Oath or Declaration [Total Pages: 3]

a.1. ☐ Newly executed (original or copy)

a.2. ☒ Unexecuted

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☐ Continuation ☐ Divisional

☒ Continuation-in-part (CIP)

of prior application Nos. 09/446,764 & 09/276,153

Prior Application Information: Examiner H. Shakeri & M. Rachuba

Group Art Unit 3723 & 3724

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

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July 30, 2001

THE COMMISSIONER IS AUTHORIZED
TO MAKE ANY DEFICIENCY IN THE
FORM FOR THIS PAPER TO DEPOSIT
IN THE DEPOSIT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Yutaka WADA et al. :
Serial No. NEW : Attn: Application Branch
Filed July 30, 2001 : Attorney Docket No. 2001-1079

POLISHING METHOD USING AN ABRADING
PLATE

(Rule 1.53(b) Continuation-In-Part
of Serial Nos. 09/446,764 & 09/276,153,
Filed April 28, 1999 & March 25, 1999)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1168.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application \$710.00

Additional Claims Fee:

Excess of Twenty \$378.00

Independent \$80.00

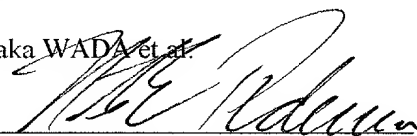
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Yutaka WADA et al.

By



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Registration No. 33,145
Attorney for Applicants

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
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